

COMMERCIAL ENTITY OFAC COMPLIANCE WORKSHEET

Nature of transaction _____

Company Information (Complete first four rows)

Entity's full legal name and type of entity*:	
Trading Symbol if publicly traded*	
Country of Incorporation:	
Any d/b/a(s) used by the Entity:	
Entity's street address:	
State of organization:	
Federal Tax EI Number:	

Personnel Information required if company is not publicly traded

Position	Last Name, First, Middle	Country of Birth	Country of Citizenship
CEO			
COO			
CFO			
President			
Chairman of the Board			
Individual Signing Document			
Entity Owners with 25% or more interest in the entity			

Form Completed by: _____ Date Completed: _____

Name of Copied Documents Attached:
